(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 13 February 2003 (13.02.2003)

PCT

(10) International Publication Number WO 03/011307 A1

(51) International Patent Classification⁷: A61K 31/737, A61P 31/18

(21) International Application Number: PCT/IB02/02909

(22) International Filing Date: 26 July 2002 (26.07.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: MI2001A 001633 27 July 2001 (27.07.2001) IT

(71) Applicant (for all designated States except US): FON-DAZIONE CENTRO SAN RAFFAELE DEL MONTE TABOR [IT/IT]; Via Olgettina, 60, 1-20132 Milano (IT).

(71) Applicants and

(72) Inventors: ZOPPETTI, Giorgio [IT/IT]; Via Mac Mahon, 43, I-20155 Milan (IT). ORESTE, Pasqua, Anna [IT/IT]; Via Mac Mahon, 43, I-20155 Milan (IT).

(72) Inventors; and

(75) Inventors/Applicants (for US only): POLI, Guido [IT/IT]; Via Gilera, 28, I-20090 Segrate (IT). VICENZI, Elisa [IT/IT]; Via Gilera, 28, I-20090 Segrate (IT).

(74) Agent: SANTORO, Tiziana; Marietti, Gislon e Trupiano S.r.l., Via Larga, 16, 1-20122 Milan (IT).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: USE OF OVERSULFATED POLYSACCHARIDES AS INHIBITORS OF HIV

(57) Abstract: The present invention relates to the use of N,O oversulfated K5 derivatives having a degree of sulfation higher than 3.2 or of their pharmaceutically acceptable salts for the preparation of pharmaceutical composition s for treating the infection and the consequent HIV/AIDS disease.

03/011307 A1

10

15

20

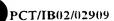
USE OF OVERSULFATED POLYSACCHARIDES AS INHIBITORS OF HIV

Subject of the invention

The Acquired Immuno Deficiency Syndrome (AIDS) has become a global pandemia, even if the deaths caused by HIV infection are considerably decreasing in the countries with stable market economy where cocktails of specific inhibitors of the viral enzymes inverse transcriptase (RT) and protease entered in the clinical practice. About a dozen of drugs are nowadays available in the market. However, the treatment with such drugs is accomplished with heavy side effects. Furthermore, HIV-variants which are resistant to the drugs in use are emerging and diffusing. As, at the present time, retroviral therapy temporarily stops the viral replication but is not able to eradicate the HIV from the chronically infected cells, and, thus, from the seropositive individuals, it is essential to identify new classes of antiviral drugs which can complement and possibly substitute (in the case of pharmacological resistance) the drugs now in use.

Different steps of the replicative cycle of HIV are potentially vulnerable by specific inhibitors. These can be conventionally divided into:

- Aspecific binding of the viral particle (virion) to the cell surface followed by the specific binding to the CD4+ cells by the interaction of the glycoprotein of the viral envelop (gp120 Env) exposed at the surface of the virion. The binding between gp120 Env and CD4 allows, through a conformational change, the subsequent binding of gp 120 Env with the co-receptors CCR5 and CXCR4 and the insertion of the fusogenic component of the viral envelop (gp41 Env) in the membrane of the target cell.
- Early events subsequent to the virus entry, schematically subdividable into migration of the complex denominated of pre-integration from the cytoplasm to the nucleus contemporaneous to the retrotranscription process of the viral genoma from RNA to DNA, and, finally, to the integration of the viral DNA into the chromosomes of the infected cell (provirus).
- Late events of the life cycle of HIV, which comprise the transcription of the



viral genes to the synthesis of new viral proteins, their assembly to the internal surface of the cell, the gemmation of new virions that will detach from the infected cell to begin a new infection cycle.

The earliest phase of the infection process is the adhesion of the virion to the cell membrane that occurs independently from the interaction of gp120 Env with the specific receptors on the host cell. Among the different proposed mechanisms, the most reliable one involves the association of positively charged gp120 Env regions with the negatively charged membrane heparan sulfates (proteoglycans). In fact, the polyanions efficiently bind the gp120 Env molecule of many viral isolates and thus have potent antiviral effects in vitro and represent potential therapeutic agents.

Prior art

5

10

15

20

25

30

It is known that the capsular polysaccharide K5 isolated from a E.coli strain (herein below simply referred to as "K5") described by W.F. Vann et al. (1981) in Eur. I. Biochem. 116, 359-364, shows the same sequence as the biosynthetic precursor of heparin and heparan sulfate (N-acetylheparosan) and is chemically constituted by repetitive disaccharide units formed by D-glucuronic acid and N-acetylglucosamine linked α1-4, while the disaccharide units D-glucuronyl-N-acetylglucosamine are linked β 1-4. The only difference, which is not important for the biological activities of K5 and its derivatives, between the heparin precursor N-acetylheparosan and K5 polysaccharide, is the presence of a double bond in position 4(5) at the non reducing end of some chains of the K5 polymer, as for instance described in EP 489647 and EP 544592 herein below mentioned.

After this first publication, other papers and patent applications described the preparation of the E.coli K5 polysaccharide having molecular weight ranges from few thousand to many hundred thousand Daltons and chemically or enzymatically modified K5 polysaccharides, for example to prepare N- and/or O-sulfated products or, possibly, N,O sulfated and epimerized in position 5 of the glucuronic acid to obtain heparin-like products. For example EP 333243, IT 1230785, EP 489647, EP 544592, WO 92/17507, WO 96/14425, WO 97/43317, WO 98/34958, WO 98/42754, WO 01/02597, and the paper of M. Manzoni et al. (1996), Journal Bioactive

10

15

Compatible Polymers, 11, 301-311 are cited.

In particular, among these documents, EP 333243 describes O-sulfated K5 compounds possibly suitable in the treatment of pathologies due to viruses with an envelop like Herpes Symplex virus, vescicular stomatitis virus, type 1 HIV (HIV-1) and type 2 HIV (HIV-2). This document describes O-sulfated K5 polysaccharides having at most 1.25 sulfate groups per saccharide unit (correspondent to 2.5 sulfate groups per disaccharide), a tetrasaccharide, a hexasaccharide and an octasaccharide containing, respectively, 3.4, 3.2 and 1.5 sulfate groups per disaccharide unit.

Furthermore, WO 98/34958 describes O-sulfated derivatives of K5 polysaccharide having a sulfate/carboxyl ratio between 0.5 and 4 with antimetastatic and antiviral activity, in particular O-sulfated K5 having a degree of sulfation of from 2.5 to 4. According to this document, the most sulfated compounds (sulfate/disaccharide > 2.5) show an interesting anti-HIV activity.

The N,O sulfated derivatives of K5 described in these references, in particular EP 489647, EP 544592 and WO 98/09636, have a degree of sulfation of from 1.6 to 3.1, while the degree of sulfation of O-sulfated K5 can reach 4. On the other hand, the activity of the known N,O sulfated K5 derivatives has never been described.

Casu et al. (1994) Carbohydrate Research, 263, 271-284 describe the N-deacetylation of K5, the N-sulfation and three methods of O-sulfation indicated as B, C and AC.

According to method C, in which the sulfation of the N-sulfate K5 is performed using 10 mole equivalents of sulfating agent per free hydroxyl group at a temperature of 25-55°C for a period of time ranging from 1 to 24 hours, polysulfated compounds are obtained after a further N-sulfation having a maximum sulfate/carboxyl ratio of 3.1.

Herein below the K5 derivatives are also designated as follow: "N-deacetylated K5" the N-deacetylated K5 polysaccharide, "N-sulfate K5" the N-deacetylated-N-sulfated K5 polysaccharide, "N,O-sulfated K5" the N-deacetylated-N,O-sulfated K5 polysaccharide and "N,O-oversulfated K5" the N-deacetylated-N,O-sulfated K5 polysaccharide with high degree of sulfation, obtainable according to the Method C of the above mentioned paper Casu et al.

15

20

30



The expression "O-oversulfation conditions" indicates condition of exhaustive O-sulfation, like that of the above mentioned Method C. By "degree of sulfation " the number of sulfate groups per disaccharide unit, expressed as sulfate/carboxyl ratio (SO₃-/COO⁻) is designated.

5 Summary of the invention

The invention is based on the hypothesis that by increasing the anionicity of the N,O sulfated polysaccharides from K5, N,O oversulfated K5 derivatives can be obtained with high anti-HIV activity, thus suitable for the treatment of the Acquired Immuno Deficiency Syndrome known as AIDS. Such an hypothesis, however, comes up against the problem that the N,O sulfation of the previously N-deacetylated K5 occurs with difficulty and, in fact, literature describes N,O sulfated polysaccharides from K5 with a degree of sulfation which does not reach 3.2.

It has now been found that, by purifying the K5 obtained by fermentation by treatment with isopropanol in a highly saline solution, a pure K5 polysaccharide substantially free of lipophilic substances is obtained and that, by submitting said K5 free of lipophilic substances to a N-deacetylation, N-sulfation, O-sulfation under O-oversulfation conditions, and optionally to a further N-sulfation, new N,O oversulfated K5 derivatives having a degree of sulfation higher than 3.2 are obtained. These N,O oversulfated K5 derivatives are endowed with interesting activities of inhibition of the entry and of the replication of the HIV with a favourable ratio with respect to the global anticoagulant activity and, thus, they can be used for the preparation of pharmaceutical compositions for the treatment of HIV infections, in particular useful for combating the Acquired Immuno Deficiency at doses by which the risk of hemorrhagic side effects is extremely reduced.

More particularly, we have demonstrated that the N,O oversulfated K5 derivatives having a degree of sulfation higher than 3.2 have a high inhibitory effect on dualtropic viral strains that use both of the CCR5 and CXCR4 co-receptors (R5X4 strains) that are generated during the late phases of the HIV infection.

Said strains emerge in 50% of the seropositive individuals in the late phases of the infection and it is proven that they can cause a more accelerated progression of the

pathology.

5

10

15

20

25

30

Detailed description of the invention

The present invention relates to the use of N,O oversulfated K5 derivatives having a degree of sulfation higher than 3.2 or of their pharmaceutically acceptable salts for the preparation of pharmaceutical compositions for combating the infection and the consequent HIV/AIDS disease.

The N,O oversulfated K5 derivatives of the present invention exhibit a marked activity in suppressing the replication of various HIV-1 strains in primary CD4+ cells derived from peripheral venous blood of health seronegative adults isolated by Ficoll gradient, from the ring of which the fraction of mononucleate cells was obtained (PBMC) that are then stimulated with phytoagglutinin (PHA) for 3 days and subsequently maintained in a medium enriched with interkeukin-2 (IL-2) (PHA blasts). A second fraction of PBMC is submitted to a second gradient of Percoll to isolate the monocytes, that are seeded in adherence for 5-7 days to obtain *in vitro* derived macrophages (MDM) susceptible to the HIV infection.

In particular, the N,O sulfated K5 derivatives having a degree of sulfation higher than 3.2 have a high inhibitory effect on dualtropic strains R5X4 that are generated during the late phases of the HIV pathology. Said inhibitory effect is also higher than that exerted by O-sulfated K5 derivatives with the same degree of sulfation such as those described in WO 98/34958.

Advantageously, the N,O oversulfated K5 used for such an use has a degree of sulfation of from 3.2 to 4, more advantageously from 3.5 to 4, preferably from 3.7 to 4.

Among the salts of said N,O oversulfated K5, sodium, potassium, calcium, magnesium, aluminum and zinc salts are the preferred.

The N,O oversulfated K5 derivatives of the invention preferably show mean molecular weights in the range of between about 2,000 and about 65,000, advantageously between 2,500 and 20,000 dalton (D). All the herein below indicated molecular weights are intended to be expressed in dalton and calculated according to Harenberg et al. Journal Chromatography (1983), 261, 287-292.

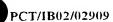
10

15

20

25

30



The N,O oversulfated K5 derivatives of the present invention are prepared by a process which comprises

- (a) treating a K5 from fermentation with isopropanol in a strong saline solution;
- (b) submitting the thus purified K5 to a N-deacetylation by alkaline hydrolysis and to a subsequent N-sulfation by treatment with a N-sulfating agent;
- (c) treating an ammonium salt of the N-sulfate K5 thus obtained with an O-sulfating agent in the O-oversulfation conditions;
- (d) if needed, submitting the product thus obtained to a N-sulfation and isolating the N.O oversulfated K5 as sodium salt which, if necessary, is converted into another salt.

In step (a), the K5 starting material can be one of the products obtained by fermentation of wild or cloned *Escherichia coli* strains, producing K5. In particular the K5 described in literature like those above cited can be used, advantageously those described by M. Manzoni et al., Journal Bioactive and Compatible polymers, 1996, 11, 301-311 and the one illustrated in PREPARATION I herein below.

More advantageously, the K5 starting material has a low molecular weight, in particular with a molecular weight distribution of from about 1,500 to about 15,000, preferably from about 2,000 to about 9,000, with a mean molecular weight of about 5,000, or a higher mean molecular weight, in particular with a distribution of from about 10,000 to about 50,000, preferably from about 20,000 to about 40,000, with a mean molecular weight of about 30,000. Preferably, the K5 starting material has a distribution of molecular weight of from about 1,500 to about 50,000 with a mean molecular weight of 20,000-25,000.

The molecular weight of K5 and of herein described derivatives thereof is intended as calculated using heparin fractions of known molecular weight as standards.

The starting product can be a previously purified K5 from which, for example, the endotoxins, the pyrogens or other impurities have been eliminated by known methods.

Likely, if the K5 obtained at the end of step (a) is used for pharmaceutical purposes or for the preparation of N,O sulfated K5 for pharmaceutical use, it can be purified

15

20

25

30

from pyrogens and endotoxins.

Practically, the starting K5 is dissolved in a 2-5 M saline solution, preferably of sodium chloride, at a concentration of from 0.5 to 10% and the thus obtained solution, brought to 2-4 M by a further addition of salt, preferably sodium chloride, is treated with 1-3 volumes of isopropanol at a temperature of 0-8°C.

After 1-18 hours at the same temperature, the product of step (a) completely precipitates and is isolated by filtration or centrifugation. If the purity of the product is not satisfactory, the procedure of step (a) is repeated. The solid product thus obtained is redissolved in water and recovered by ultrafiltration on a membrane.

At the end of step (a) a K5 having the same characteristics as those of the starting material, but being substantially free of lipophilic substances is obtained.

Practically, the K5 free of lipophilic substances is obtainable by a process which comprises (a1) treating a K5 from fermentation, dissolved in a 4 M solution of sodium chloride at 4°C with 1 volume of isopropanol, (a2) bringing the saline solution to 3 M by adding the calculated amount of a sodium chloride saturated solution, (a3) keeping the solution at 4°C overnight and (a4) isolating the product by centrifugation and eliminating the salts by ultrafiltration.

By the purification with isopropanol it is thus possible to obtain a K5 free of lipophilic substances with a purity higher than 99%. This K5 allows to obtain a high O-sulfation in the next step (c).

In step (b), the N-deacetylation is performed according to the known methods of alkaline hydrolysis, for example with hydrazine sulfate in hydrazine or with a base such as an alkaline hydroxide, for example sodium or potassium hydroxide, in water. Preferably the reaction is performed in an aqueous solution of sodium hydroxide at a temperature of 40-80°C, by controlling the course of the reaction. In general, after at most 30 hours, but practically after 12-24 hours, the N-deacetylation is complete and the alkalinity of the medium is neutralized by treatment with an acid, preferably hydrochloric acid.

The solution containing the K5 and the salts is subsequently treated with a N-sulfating agent such as the adduct of a tertiary organic base with sulfuric anhydride

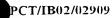
10

15

20

25

30



 $(C_5H_5N.SO_3)$ trioxide pyridine.sulfur trioxide), such as (sulfur trialkylamine.sulfur trioxide such as trimethylamine.sulfur trioxide in the presence of an alkaline carbonate such as sodium carbonate. The reaction can be performed at room temperature (20-30°C), but it is also possible to work at higher temperatures (up to 65°C) to shorten the reaction time. The addition of the alkaline carbonate and of the sulfating agent can be performed concurrently or the alkaline carbonate is introduced in bulk and the sulfating agent subsequently, stepwise, in a period of time which can last from 5 minutes to 12 hours. At the end of the reaction the mixture, at room temperature, is brought to pH 7.5-8 with an acid, preferably hydrochloric acid and the salts are eliminated for example by diafiltration. The so obtained solution, containing the N-sulfate K5 as an alkaline salt, can be passed to the subsequent step (c), or it can be concentrated and the N-sulfate K5 can be isolated as sodium salt with conventional methods. The thus obtained N-sulfate K5 is 90-100% sulfated.

In step (c) a solution containing the alkaline N-sulfate K5 as obtained in step (b) is passed on a cationic exchange resin, like IR 120 H⁺ till acidic pH. The acidic solution so obtained is treated with a tertiary or quaternary organic base, for example with a trialkylamine like tributylamine, or with a tetralkylammonium hydroxide, preferably tetrabutylammonium hydroxide, reduced to the minimum volume and freeze dried. The thus isolated ammonium salt of the N-sulfate K5 is suspended in a polar aprotic solvent such as dimethylformamide or dimethylsulfoxide and treated with an O-sulfating agent, for example with the adduct C₅H₅N.SO₃. The adduct C₅H₅N.SO₃ can be used either in the solid state or in solution in the same polar aprotic solvent. The sulfation is performed at a temperature that can vary from the room temperature (20-30°C) to 70°C, preferably from 40 to 60°C, for a period of time of from 2 to 24 hours.

At the end of the reaction, the solution at room temperature is treated with sodium chloride saturated acetone till complete precipitation. The precipitate is separated from the solvent by filtration, dissolved in the minimum amount of deionized water, for example 100 ml, and sodium chloride is added to the solution till 0.2 M concentration. The solution is brought to pH 7.5-8 with 2N sodium hydroxide and

15

20

25

treated with acetone till complete precipitation. After filtration the solid is dissolved in 100 ml of deionized water and purified from the residual salts by ultrafiltration as described in step (b).

If from the analysis by ¹³C-NMR of a freeze dried sample of the thus obtained product it appears that a partial N-desulfation occurred during the oversulfation, the product is submitted to step (d).

In step (d) the product obtained at the end of step (c) is treated with a N-sulfating agent by operating under the conditions of step (b) till complete N-sulfation, repeating the procedure if the N-sulfation is not complete.

The N,O oversulfated K5 thus obtained is isolated as sodium salt, that can be converted into another salt, like potassium, calcium, magnesium, aluminum, zinc or complex salts by known methods, for example by ionic exchange with a suitable resin, by precipitation with solvents or by ultrafiltration through membranes.

The purity of the new purified K5 from fermentation can be assayed by ¹H-NMR spectrum, by UV spectrum, by carbazole reaction, or by a kit for the protein determination. By these assays it was demonstrated that the K5 obtained at the end of step (a) has, as the essential characteristic, a ¹H-NMR spectrum in which signals in the field below 1.5 ppm are absent. Moreover the nucleic acids are not detectable, (absorbance 0 at 260 nm with a standard UV spectrophotometer) and the proteins are not higher than 0.5%, advantageously below 0.25%, more advantageously below 0.1%, preferably below 0.03% according to BioRad kit.

Actually, the new pure K5 obtained at the end of step (a) is free from lipophilic substances and nucleic acids. The use of "substantially", referred to the absence of lipophilic substances and of "not detectable" referred to the nucleic acids takes in account the sensitivity of the instruments used which did not reveal the presence of the above mentioned impurities.

Thus it was established that the ¹H-NMR spectrum of the pure K5 polysaccharide obtained in this way lacks the signals at <1.5 ppm characteristic of the methyl group of lipophilic substances.

30 The new thus purified K5 compounds, which allow the preparation of N,O

10

15

20

25

30



oversulfated K5 with a high degree of sulfation, have preferably a low molecular weight, in particular with a distribution of from about 1,500 to about 15,000, preferably from about 2,000 to about 9,000, with a mean molecular weight of about 5,000, or a higher molecular weight, in particular with a distribution of from about 10,000 to about 50,000, preferably from about 20,000 to about 40,000 with a mean molecular weight of about 30,000. Preferably the K5 starting material has a molecular weight distribution of from about 1,500 to about 50,000 with a mean molecular weight of 20,000-25,000.

The N,O oversulfated K5 derivatives obtained according to the above mentioned process, especially in their pharmaceutically acceptable form, are highly anionic products useful for the preparation of pharmaceutical compositions with antiviral activity, in particular suitable for the treatment of the HIV infection and of AIDS that is its consequence.

Said N,O oversulfated K5 derivatives have a degree of sulfation higher than 3.2, advantageously from 3.2 to 4, more advantageously from 3.5 to 4, preferably from 3.7 to 4.

Advantageously said N,O oversulfated K5 have a low molecular weight, in particular with a distribution of from about 2,000 to about 16,000, preferably from about 2,500 to about 10,000 with a mean molecular weight of about 6,500, or a somewhat higher molecular weight, in particular with a distribution of from about 13,000 to about 65,000, preferably from about 25,000 to about 50,000 with a mean molecular weight of about 40,000. Preferably the N,O oversulfated K5 starting material of the present invention has a molecular weight distribution of from about 2,000 to about 65,000, with a mean molecular weight of 25,000-30,000. Also N,O oversulfated K5 having a very low mean molecular weight, for example of from about 2,000 to 5,000, obtained by depolymerization, constitute very interesting products.

The depolymerization that allows the preparation of the N,O oversulfated K5 derivatives with mean molecular weight of from 2,000 to 5,000 can be performed at the end of one of steps (b)-(d) of the process illustrated above, preferably at the end of step (b) or on the final N,O oversulfated K5.

15

20

25

30

The depolymerization can be performed according to anyone of the known methods for the depolymerization of heparin, for example by nitrous acid and subsequent reduction with sodium borohydride (EP 37319), by periodate (EP 287477), by free radicals (EP 121067) or by β-elimination (EP 40144). In the case of N,O oversulfated K5 the depolymerization is advantageously performed on a N-sulfate K5 obtained at the end of step (b) with nitrous acid and subsequent reduction with sodium borohydride as detailed in EP 544592. At the end of the depolymerization and reduction, the low molecular weight product thus obtained is submitted to steps (c) and, optionally, (d) and the N,O oversulfated K5 is isolated.

Alternatively, the same procedure of depolymerization and reduction can be applied to a N,O oversulfated K5 with high molecular weight and the corresponding low molecular weight product is obtained.

Among the salts of the above mentioned N,O oversulfated K5 derivatives sodium, potassium, calcium, magnesium, aluminum and zinc salts are the preferred.

The drugs nowadays used for the therapy of the HIV infection interfere in two fundamental phases of the life cycle of the virus: the retrotranscription and the decomposition of the polyprotein Gag in its mature forms by the viral protease. Because of the discovery of the chemokine receptors CCR5 and CXCR4 as obliged co-receptors of HIV for the infection of lymphocytes and macrophages CD4+, a potential new class of antiviral drugs comprises molecules able to inhibit the binding of the virus to the CD4 molecule and/or to the chemokine receptor and/or to inhibit the process of fusion of the viral and cellular membranes mediated by the envelop glycoprotein gp41 (Moore JP, and Stevenson M, Nat Rev Mol Cell Biol 2000, 1:40-49). In this context, the development of inhibitors of the entry of dualtropic viruses R5X4, thus able to infect the CD4+ cells interacting with CCR5 or CXCR4, has a great relevance in preventing the evolution of HIV-1 of the B group, dominant in USA, Canada, Australia and Europe, the evolution being associated to an accelerated progression of the pathology (Koot M., et al., Ann. Intern. Med. 1993, 118:681-688). The anti HIV activity was tested on the HIV-1 virus both on PHA blasts (blasts) and on macrophages derived from the adhesion of monocytes (MDM) of peripheral

10

15

20

25

30

blood. The viral strain HTV-1_{Bal}, using only the co-receptor CCR5 was used both for the infection of blasts and of MDM, while the dualtropic strain using the co-receptor CXCR4 beside the CCR5 (R5X4 virus) and the strain using the co-receptor CXCR4 only (X4 strain) were used just for the infection of the blasts. These strains (R5X4 and X4) are generated during the late phases of the infection, the virus can extend its infective capacity to cells expressing the receptor CXCR4 beside the CCR5.

The viruses are added to the multiplicity of the infection (m.o.i.: number of infective viral units/number of target cells) of 0.1. Aliquots of supernatants of the cultures are collected every 48-72 hours and the RT viral activity present in the supernatant of the culture is tested as described by Vicenzi et al. (J.Virol. 73:7515-7523, 1999). As the RT enzyme is 99% associated to the virions, the determination of its activity is equivalent to the determination of the presence of viral particles in said fluid. The RT activity reaches a peak and then decreases because of the cytopatic effect of the virus on the target cells. The peak of RT activity is then used as an index to evaluate the concentration of the potential antiviral agent.

The N,O oversulfated K5 obtained according to the PREPARATION III, representative of the present invention, having a degree of sulfation of 3.84 and designated K5 N,OS(H), was compared with two other derivatives of K5 polysaccharide, in particular with a N,O sulfated K5 having a degree of sulfation of 1.77 and designated K5 N,OS(L), obtained as described in PREPARATION V, and with an O-sulfated K5 having a degree of sulfation of 3.77, designated K5 OS(H) and prepared as described in PREPARATION VI.

The HIV-1 replication both in PHA blasts and in MDM is inhibited by the compounds tested in vitro at concentrations in the range between 1 and 100 μg/ml. However, the representative compound of the present invention, K5-N,OS(H), inhibits the dualtropic strains R5X4 that generate during the advanced steps of the infection for 99% already at 1 μg/ml concentration, while the two reference products, K5-OS(H) and K5 N,OS(L), inhibit the same strains for 98% at the concentration of 10 and 100 μg/ml, respectively. Furthermore the K5 N,OS(H), as much as the K5 OS(H), is active on all the other strains used at concentrations of 1-10 μg/ml,

10

showing to be always more active than K5 N,OS(L).

For the foreseen therapeutic uses the above mentioned N,O oversulfated K5 derivatives and their salts thereof are formulated according to conventional techniques in suitable administration forms such as sterile solutions, topical dosage forms and, in general, in all those forms proposed till today for polysaccharide or glycosaminoglycan derivatives. Also the therapeutic doses are chosen in analogy with those already studied for the known natural compounds.

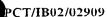
The administration of the N,O oversulfated K5 can occur by oral, transdermal or, preferably, parenteral route, in particular subcutaneous, intramuscular or intravenous or topical route.

In humans, the daily dose for the parenteral administration is foreseen in 0.5-500 mg/Kg/die, advantageously 5-250 mg/Kg/die, preferably 10-150 mg/Kg/die, while the dose foreseen by topical route is 1-1000 mg/Kg/die, advantageously 10-500 mg/Kg/die, preferably 20-100 mg/Kg/die.

- For their administration, the N,O oversulfated K5 derivatives having a degree of sulfation higher than 3.2, advantageously from 3.2 to 4, more advantageously from 3.5 to 4, preferably from 3.7 to 4, or their salts, constitute thus active ingredients that are formulated in pharmaceutical compositions suitable for the treatment of the HIV infections, in particular of the Acquired Immuno Deficiency Syndrome.
- Thus, according to another of its aspects, the present invention provides a pharmaceutical composition for the treatment of the HIV infection which comprises, as an active ingredient thereof, a pharmacologically effective amount of a N,O oversulfated K5 having a degree of sulfation higher than 3.2 or of one its pharmaceutically acceptable salts, in admixture with a pharmaceutical vehicle or excipient.

Said N,O oversulfated K5 can have one of the above illustrated characteristics of degree of sulfation.

A salt selected from the group consisting of sodium, potassium, calcium, magnesium, aluminum and zinc salts constitutes a suitable active ingredient of the compositions of the present invention.



In the pharmaceutical compositions of the present invention for the oral, subcutaneous, intravenous, transdermal, or topical administration, the active ingredients are preferably administered in dosage unit form, in admixture with the classical pharmaceutical excipients or vehicles. The dose can amply change in function of age, weight, and health conditions of the patient, as much as the of severity of the infection and of the route of administration. This dose comprises the administration of a dosage unit from 1 to 1,000 mg, advantageously from 10 to 750 mg, preferably from 250 to 500 mg of active ingredient, from one to three times per day by intravenous, subcutaneous, oral, transdermal or topical route.

Finally, according to one of its further aspects, the present invention provides a 10 method for the treatment of the HIV infection, which comprises administering to a patient in need of such a treatment an effective amount of a N,O oversulfated K5 having a degree of sulfation higher than 3.2, advantageously from 3.2 to 4, more advantageously from 3.5 to 4, preferably from 3.7 to 4 or of one of its 15

pharmaceutically acceptable salts.

Particularly advantageous N,O oversulfated K5 derivatives are those having the above illustrated molecular weight distributions and the mean molecular weights.

The method for treating the HIV infection comprises also the administration of the above illustrated pharmaceutical compositions, advantageously those of claims 14-

20 24.

25

The invention also provides a method for treating the HIV infection, in which said treatment is performed by administering to the patient a pharmaceutical composition formulated with diluents or pharmaceutically acceptable carriers for parenteral administration or topical application. Advantageously, for the parenteral administration a dosage of from 0.5 to 500 mg/Kg/die and for the topical administration a dosage of from 1 to 1,000 mg/Kg/die are provided.

The following examples illustrate the invention.

PREPARATION I

Preparation of the K5 polysaccharide from Escherichia coli

First a fermentation in flask using the following medium is performed: 30

	Defatted soy	2 g/l
	K_2HPO_4	9.7 g/l
	KH ₂ PO ₄	2 g/l
	$MgCl_2$	0.11 g/l
5	Sodium citrate	0.5 g/l
	Ammonium sulfate	1 g/l
	Glucose	2 g/l
	Water	1,000 ml
	pH = 7.3	

15

20

25

30

70010 - WO 0301130741 1 5

The medium is sterilized at 120 °C for 20 minutes. The glucose is prepared separately as a solution which is sterilized at 120°C for 30 minutes and sterile added to the medium. The flask is inoculated with a suspension of E. coli cells Bi 8337/41 (O10:K5:H4) from a slant maintained in Tryptic soy agar, and incubated at 37°C for 24 hours under controlled stirring (160 rpm, 6 cm of run). The bacterial growth is measured counting the cells with a microscope. In a further step, a Chemap-Braun fermentor with a volume of 14 litres containing the same medium above is inoculated with the 0.1% of the above flask culture and the fermentation is performed with 1vvm aeration (vvm = air volume per liquid volume per minute), 400 rpm stirring and temperature of 37°C for 18 hours. During the fermentation pH, oxygen, residual glucose, produced K5 polysaccharide and bacterial growth are measured. At the end of the fermentation the temperature is raised to 80°C for 10 minutes. The cells are separated from the medium by centrifugation at 10,000 rpm and the supernatant is ultrafiltrated through a SS316 (MST) module equipped with PES membranes with a nominal cut off of 800 and 10,000 D to reduce the volume to 1/5. Then K5 polysaccharide is precipitated adding 4 volumes of acetone at 4°C and left to sediment for one night at 4°C and finally is centrifuged at 10,000 rpm for 20 minutes or filtrated. Then a deproteinization using a protease of the type II from Aspergillus Orizae in 0.1M NaCl and 0.15 M ethylenediaminotetracetic acid (EDTA) at pH 8 containing 0.5% sodium dodecyl sulfate (SDS) (10 mg/l of filtrate) at 37°C for 90 minutes is performed. The solution is ultrafiltrated on a SS 316 module with a

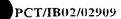
10

15

20

25

30



nominal cut off membrane of 10,000 D with 2 extractions with 1M NaCl and washed with water until the absorbance disappears in the ultrafiltrate. K5 polysaccharide is then precipitated with acetone and a yield of 850 mg/l of fermentor is obtained. The purity of the polysaccharide is measured by uronic acid determination (carbazole method), proton and carbon NMR, UV and protein content. The purity is higher than 80%.

The so obtained polysaccharide is composed of two fractions with different molecular weight, 30,000 and 5,000 D respectively as obtained from the HPLC determination using a 75 HR Pharmacia column and one single fraction with retention time of about 9 minutes using two columns of Bio-sil SEC 250 in series (BioRad) and Na₂SO₄ as mobile phase at room temperature and flow rate of 0.5 ml/minute. The determination is performed against a curve obtained with heparin fractions with known molecular weight.

The ¹H-NMR spectrum of thus obtained K5 shows that in the region below 1.5 ppm many signals attributable to the methyl groups of lipophilic substances are present.

PREPARATION II

Preparation of a K5 free from lipophilic substances

In 100 ml of an aqueous solution containing 4M sodium chloride and thermostated at 4°C are dissolved 5 gr of the K5 obtained at the end of PREPARATION I and 1 volume of cold isopropanol is added to the thus obtained solution. The salt concentration of the solution is brought to 3 M adding a calculated amount of a saturated solution of sodium chloride and the cooled solution is kept at cold temperature (about 4°C) overnight. The precipitate formed is separated by centrifugation at 10,000 rpm for 20 minutes and the purity of the product is controlled by dialysis for one night and subsequent ¹H-NMR analysis from which the signals in the region below 1.5 ppm are absent. If necessary, the procedure of dissolution in water containing 4M NaCl and precipitation with isopropanol is repeated. The precipitate is dissolved in water and ultrafiltrated on a Miniplate membrane Millipore with a 10,000 D cut off till disappearance of the salts. A K5 having a purity of at least 99% and whose ¹H-NMR spectrum there are no traces of

lipophilic impurities in the region below 1.5 ppm.

The protein content calculated using BioRad kit is 0.02% and the nucleic acids are not detectable (absorbance 0 at 260 nm).

PREPARATION III

5 Preparation of a N,O oversulfated K5

(i) N-deacetylation

Ten grams of pure K5 polysaccharide prepared as described in PREPARATION I and purified as described in PREPARATION II are dissolved with 1,000 ml of 2 N sodium hydroxide and the solution thus prepared is kept at 60°C for 24 hours. The solution is brought to room temperature and then to neutral pH with 6N hydrochloric acid.

(ii) N-sulfation

10

15

20

25

30

To the solution containing the deacetylated K5, kept at 40°C, 16 g of sodium carbonate and subsequently 16 gr of pyridine sulfur trioxide in 4 hours are added. At the end of the reaction, after 24 hours, the solution is brought to room temperature and then to pH 7.5-8 with a 5% solution of hydrochloric acid. The product is purified from salts by diafiltration using a spiral membrane of 1,000 D (Prepscale Cartridge-Millipore). The process is ended when the conductivity of the permeate is below 1,000 μ S, preferably below 100 μ S. The intradialysis is reduced till a polysaccharide concentration of 10% using the same dialysis system in concentration. The concentrated solution is freeze dried. The analysis of the ¹³C-NMR does not show N-acetyl or NH₂ residual groups.

(iii) O-oversulfation

The lyofilized product obtained at the end of step (ii) is dissolved in 100 ml of deionized water and the solution is brought to 10°C with a cooling bath then passed onto a cationic exchange resin IR120H⁺ (100 ml). Both the column and the reservoir are kept at 10°C. After the passage of the solution containing the sample the resin is washed with deionized water till the pH of the permeate is higher than 6 (about 3 volumes of deionized water). The acidic solution is brought to neutrality (pH 7) with tetrabutylammonium hydroxide (15% aqueous solution), then reduced to the

10

15

25

30

minimum volume and freeze dried. The tetrabutylammonium salt is dissolved in 400 ml of dimethylformamide and added with 35 g of C₅H₅N.SO₃ in solid form. The solution is kept at 50°C for 24 hours. At the end of the reaction the solution is cooled to room temperature and added with 3 volumes of sodium chloride saturated acetone, cooled to 4°C till complete precipitation (12 hours). The precipitate is separated from the solvent by filtration, solubilized with the minimum amount of deionized water (about 100 ml) and to the solution sodium chloride till 0.2 M concentration is added. The solution is brought to pH 7.5-8 with 2N sodium hydroxide and treated with 2 volumes of acetone till complete precipitation. The precipitate is separated from the solvent by filtration. The solid obtained is solubilized with 100 ml of deionized water and purified from the residual salts by ultrafiltration as described in step (ii) using a spiral membranc of 1,000 D (Prepscale Cartridge Millipore).

(iv) N-sulfation

The solution thus obtained, containing the O-sulfated product, is treated as previously described in step (ii) for the N- sulfation. The product shows a mean molecular weight of 15,000 D and a sulfate/carboxyl ratio of 3.84. The distribution of the sulfate groups, determined with the ¹³C-NMR is the following: the glucosamine unit of the constitutive disaccharide is 100% N-sulfated and 6-O sulfated, while, as for the glucuronic units, 30% are mono O-sulfated and 70% are O-disulfated.

20 PREPARATION IV

Preparation of a N,O oversulfated K5

By operating as described in PREPARATION III starting from a K5 obtained and characterized as described by M. Manzoni et al. (1996), purified as described in PREPARATION II, a N,O oversulfated K5, designated K5-N,OS(H1), having mean molecular weight of 13,000 and a sulfate to carboxyl ratio of 3.54 is obtained.

PREPARATION V

Preparation of a N,O sulfated K5

By operating as described in PREPARATION III, but using 7 g of adduct C₅H₅N.SO₃ in step (iii), a N,O sulfated K5 designated K5-N,OS(L) is obtained, having a mean molecular weight of 14,000 and a sulfate to carboxyl ratio of 1.7.

PREPARATION VI

Preparation of a O-oversulfated K5

By operating as described in step (iii) of PREPARATION III on a K5 obtained as described in PREPARATION I and purified as described in PREPARATION II, an O-oversulfated K5, designated as K5-OS(H), having a mean molecular weight of 18,000 and a sulfate/carboxyl ratio of 3.77 is obtained.

Example 1

5

10

15

20

The anti-HIV activity was tested on PHA blasts (blasts) using the viral strain HIV-1_{Bla}, able to use only the co-receptor CCR5 (monotropic virus R5).

A N,O oversulfated K5 representative of the present invention, the K5 N,OS(H) obtaining according to PREPARATION III, and having a degree of sulfation of 3.84 was compared with other two derivatives of K5 polysaccharide, in particular with a N,O sulfated K5 having a degree of sulfation of 1.77 and designated K5 N,OS(L), obtained as described in PREPARATION V, and with a O sulfated K5 having a degree of sulfation of 3.77 designated K5 OS(H) and prepared as described in PREPARATION VI. The results of the effects of the three K5 derivatives on the infection of cells obtained from a single donor and tested in triplicate for each derivative concentration, representative of those obtained in isolated cells from three independent donors, are reported in Table 1. All the three tested samples reach the maximum inhibition of RT at the concentration of 10 μ g/ml.

TABLE 1

Concentration (µg/ml)	K5 OS(H)	K5 N,OS(H)	K5 N,OS(L)
0.1	62,5	30,7	4,8
1	81,2	40,8	31,3
10	98,5	96	98,7
100	98,9	99	99

Example 2

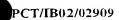
The products under examination were tested on PHA blasts using the viral strain HIV-1_{LAV/IIIB} which uses only the co-receptor CXCR4 (monotropic virus X4).

25 The strain X4 can generate, even if rarely, during the late phases of the infection

10

15

20



before the evolution of the pathology to clear AIDS.

The results reported in Table 2, show that at the concentration of 10 μ g/ml, both K5 OS(H) and K5 N,OS(H) inhibit almost completely the RT activity. At the concentration of 1 μ g/ml, the K5 OS(H) inhibits almost completely the RT activity, while K5 N,O(H) inhibits for the 55%.

TABLE 2

Concentration(µg/ml)	K5 OS(H)	K5N,OS(H)	K5N,OS(L)
0.1	44,8	28,5	30
1	99,2	55	31,8
10	99,1	98,9	85,1
100	99,2	98,7	98,7

Example 3

The products under examination were tested on blasts by using the viral strain HIV-1 89.6 which uses both the co-receptor CCR5 and CXCR4 (dualtropic viruses R5X4).

The dualtropic strains R5X4 can generate during the late phases of the infection in about 50% of the infected individuals by HIV-1 of B group, and can derive both from a mixture of monotropic species R5 and X4 and from viruses able to use simultaneously both the receptors. (S. Ghezzi et al. Virology, 280:253-261, 2001).

The results are reported in Table 3 which shows how K5 N,OS(H) inhibits almost completely the RT activity at the concentration of 1 μ g/ml, while K5 OS(H), at this concentration, has a very low activity, at least lower than that of K5 N,OS(L).

TABLE 3

Concentration (µg/ml)	K5 OS(H)	K5N,OS(H)	K5N,OS(L)
0.1	15,77	14,4	40,3
1	25	96,1	56
10	97,1	96,7	68,8
100	99	98,7	77,9

Example 4

The anti-HIV activity was tested on the HIV-1 virus on macrophages derived from monocytes of peripheral blood (MDM), infected with the viral strain HIV-1_{Bal} which

uses only the co-receptor CCR5 (monotropic virus R5).

The results of RT inhibition are reported in table 4 where K5 OS(H) and K5 N,OS(H) are substantially equipotent, while K5 N,OS(L) has a very low activity.

TABLE 4

Concentration (µg/ml)	K5 OS(H)	K5N,OS(H)	K5N,OS(L)
0.1	41	30,7	39,5
1	61,9	40,8	44,3
10	94,1	96	40,1
100	95,8	99	46,4



Claims

5

- 1. Use of a N,O oversulfated K5 having a sulfation degree higher than 3.2, for the preparation of pharmaceutical compositions for treating HIV infections.
- 2. The use of claim 1, wherein said N,O oversulfated K5 has sulfation degree of from 3.2 to 4.
- 3. The use of claim 2, wherein said N,O oversulfated K5 has a sulfation degree of from 3.5 to 4.
- 4. The use of claim 3, wherein said N,O oversulfated K5 has a sulfation degree of from 3.7 to 4.
- 5. The use according to any one of claims 1-4, wherein said HIV infection is the sole cause of the Acquired Immuno Deficiency Syndrome called AIDS.
 - 6. The use according to any one of claims 1-5, wherein said N,O oversulfated K5 is in the form a pharmaceutically acceptable salt thereof.
 - 7. The use of claim 6, wherein said pharmaceutically acceptable salt is selected from the group consisting of sodium, potassium, calcium, magnesium, aluminum and zinc salts.
 - 8. The use according to any one of claims 1-7, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 2,000 to about 16,000.
- 20 9. The use according to claim 8, wherein said distribution is from about 2,500 to about 10,000, with a mean molecular weight of about 6,500.
 - 10. The use according to any one of claims 1-7, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 13,000 to about 65,000.
- 25 11. The use according to claim 10, wherein said distribution is from about 25,000 to about 50,000, with a mean molecular weight of 40,000.
 - 12. The use according to any one of claims 1-7, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 2,000 to about 65,000, with a mean molecular weight of 25,000-30,000.
- 30 13. The use according to any one of claims 1-7, wherein said N,O oversulfated

15

20

- K5 is obtained by depolymerization and has a mean molecular weight of from 2,000 to 5,000.
- 14. A pharmaceutical composition for the treatment of the HIV infection which comprises as an active ingredient thereof, a pharmacologically effective amount of a N,O oversulfated K5 having a sulfation degree higher than 3.2, in admixture with a pharmaceutical excipient or vehicle.
- 15. The composition of claim 14, which is in a dosage unit form.
- 16. Pharmaceutical composition according to any one of claims 14 and 15, wherein said N,O oversulfated K5 has a sulfation degree of from 3.2 to 4.
- 17. Pharmaceutical composition according to any one of claims 14 and 15, wherein said N,O oversulfated K5 has a sulfation degree of from 3.5 to 4.
 - 18. Pharmaceutical composition according to any one of claims 14 and 15, wherein said N,O oversulfated K5 has a sulfation degree of from 3.7 to 4.
 - 19. Pharmaceutical composition according to any one of claims 14 to 18, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 2,000 to about 16,000.
 - 20. Pharmaceutical composition according to claim 19, wherein said distribution is from about 2,500 to about 10,000, with a mean molecular weight of about 6,500.
 - 21. Pharmaceutical composition according to any one of claims 14 to 18, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 13,000 to about 65,000.
 - 22. Pharmaceutical composition according to claim 21, wherein said distribution is from about 25,000 to about 50,000, with a mean molecular weight of about 40,000.
 - 23. Pharmaceutical composition according to any one of claims 14 to 18, wherein said N,O oversulfated K5 has a molecular weight with a distribution from about 2,000 to about 65,000, with a mean molecular weight of 25,000-30,000.
- 30 24. Pharmaceutical composition according to claim 23, wherein said N,O

15

- oversulfated K5 is obtained by depolymerization and has a mean molecular weight of from 2,000 to 5,000.
- 25. A method for the treatment of HIV infections which comprises administering to a patient in need of said treatment an effective amount of a N,O sulfated K5 having a sulfation degree higher than 3.2 or of a pharmaceutically acceptable salt thereof.
- 26. The method of claim 25 wherein said pharmaceutical acceptable salt is selected from the group consisting of sodium, potassium, calcium, magnesium, aluminum and zinc salts.
- 27. The method of claim 25 wherein said N,O sulfated K5 has a sulfation degree of from 3.2 to 4.
 - 28. The method of claim 26 wherein said N,O sulfated K5 has a sulfation degree of from 3.5 to 4.
 - 29. The method of claim 27 wherein said N,O sulfated K5 has a sulfation degree of from 3.7 to 4.
 - 30. The method of claim 25 wherein said treatment is carried out by administering to said patient a composition according to claims 14-24.
 - 31. The method of claim 30 wherein said pharmaceutical composition is formulated with pharmaceutically acceptable carriers or diluents for parenteral administration or topical application.
 - 32. The method of claim 31 wherein a daily dosage of from 0.5 to 500 mg/kg is used for the parenteral administration.
 - 33. The method of claim 31 wherein a daily dosage of from 1 to 1,000 mg/Kg is used for the topical application.

25



Relevant to claim No.

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K31/737 A61P31/18

C. DOCUMENTS CONSIDERED TO BE RELEVANT

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Category 2

Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

I bectronic data base consulted during the international search (name of data base and, where practical, search terms used)

Citation of document, with indication, where appropriate, of the relevant passages

EPO-Internal, WPI Data, PAJ, MEDLINE, EMBASE, SCISEARCH, CHEM ABS Data, BIOSIS

P,X	14-19,21		
Ρ,Υ	vol. 276, no. 41, 12 October 2001 (2001-10-12), 37900-37908, XP001077702 ISSN: 0021-9258 abstract page 37901, column 2, paragraph paragraph 6 page 37902, column 2, paragraph table I figure 1 page 37905, column 2, paragraph	h 5 - h 4	1-33
° Special ca 'A' docume consid 'E' earlier of	her documents are tisted in the continuation of box C. alegories of cited documents: ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international	*T* later document published after the inte or priority date and not in conflict with cited to understand the principle or the invention	rnational filing date the application but eory underlying the
"L" docume which citation "O" docume other "P" docume later th	ent which may throw doubts on priority claim(s) or since the publication date of another on or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filling date but man the priority date claimed	 "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the do "Y" document of particular relevance; the cannot be considered to involve an involve an involve an involve an involve and comments, such combination being obvious in the art. "&" document member of the same patent 	be considered to cournent is taken alone laimed invention vention step when the re other such docu- us to a person skilled
Date of the a	actual completion of the international search	Date of mailing of the international sea	rch report
8	January 2003	23/01/2003	
Name and n	nalling address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Authorized officer	

Internati Application No
PCT/I /02909

page 37906, column 2, paragraph 2 page 37907, column 1, paragraph 2 -column 2, paragraph 3 -& LEALI D. ET AL.: "Fibroblast growth factor-2 antagonist activity and angiostatic capacity of sulfated Eschericia coli K5 polysaccharide derivatives" JOURNAL OF BIOLOGICAL CHEMISTRY PAPERS IN PRESS, 'Online! 25 July 2001 (2001-07-25), XP002226476 Retrieved from the Internet: <url:http: abstra="" cgi="" content="" ct="" m105163200vl="" www.jbc.org=""> 'retrieved on 2003-01-08! Y WO 98 34958 A (CIPOLLETTI GIOVANNI ;PASQUA ORESTE (IT); INALCO SPA (IT); ZOPPETTI) 13 August 1998 (1998-08-13) cited in the application page 2, line 10 - line 11 page 3, line 30 -page 4, line 18 examples 3,5,10,11 claims 8,10,11</url:http:>	10000	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/I	/02909
page 37907, column 1, paragraph 2 -column 2, paragraph 3 -& LEALI D. ET AL.: "Fibroblast growth factor-2 antagonist activity and angiostatic capacity of sulfated Eschericia coli K5 polysaccharide derivatives" JOURNAL OF BIOLOGICAL CHEMISTRY PAPERS IN PRESS, 'Online! 25 July 2001 (2001-07-25), XP002226476 Retrieved from the Internet: (URL:http://www.jbc.org/cgi/content/abstra ct/M105163200vl> 'retrieved on 2003-01-08! the whole document WO 98 34958 A (CIPOLLETTI GIOVANNI ;PASQUA ORESTE (IT); INALCO SPA (IT); ZOPPETTI) 13 August 1998 (1998-08-13) cited in the application page 2, line 10 - line 11 page 3, line 30 -page 4, line 18 examples 3,5,10,11 claims 8,10,11 FP 0 293 826 A (STICHTING REGA V Z W) 7 December 1988 (1988-12-07) page 2, line 33 -page 3, line 4 page 4, line 46 - line 48 page 5, line 57 -page 6, line 9 page 9, line 14 - line 30 claims 1-3,10,11 CASU BENITO ET AL: "Heparin-like compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract				Relevant to claim No.
the whole document Y W0 98 34958 A (CIPOLLETTI GIOVANNI ; PASQUA ORESTE (IT); INALCO SPA (IT); ZOPPETTI) 13 August 1998 (1998-08-13) cited in the application page 2, line 10 - line 11 page 3, line 30 -page 4, line 18 examples 3,5,10,11 claims 8,10,11 Y EP 0 293 826 A (STICHTING REGA V Z W) 7 December 1988 (1988-12-07) page 2, line 33 -page 3, line 4 page 4, line 48 page 5, line 57 -page 6, line 9 page 9, line 14 - line 30 claims 1-3,10,11 A CASU BENITO ET AL: "Heparin-like compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract page 272, paragraph 2 page 279, paragraph 1 -page 280, paragraph 2 page 279, paragraph 2 - paragraph 3	X	page 37907, column 1, paragraph 2 -column 2, paragraph 3 -& LEALI D. ET AL.: "Fibroblast growth factor-2 antagonist activity and angiostatic capacity of sulfated Eschericia coli K5 polysaccharide derivatives" JOURNAL OF BIOLOGICAL CHEMISTRY PAPERS IN PRESS, 'Online! 25 July 2001 (2001-07-25), XP002226476 Retrieved from the Internet: <url:http: abstra<="" cgi="" content="" td="" www.jbc.org=""><td></td><td></td></url:http:>		
ORESTE (IT); INALCO SPA (IT); ZOPPETTI) 13 August 1998 (1998-08-13) cited in the application page 2, line 10 - line 11 page 3, line 30 -page 4, line 18 examples 3,5,10,11 claims 8,10,11 PF 0 293 826 A (STICHTING REGA V Z W) 7 December 1988 (1988-12-07) page 2, line 33 -page 3, line 4 page 4, line 46 - line 48 page 5, line 57 -page 6, line 9 page 9, line 14 - line 30 claims 1-3,10,11 A CASU BENITO ET AL: "Heparin-like compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract page 272, paragraph 3 - paragraph 4 table 1 page 277, paragraph 1 page 284, paragraph 2 - paragraph 3 2 page 284, paragraph 2 - paragraph 3	Y	the whole document		
7 December 1988 (1988-12-07) page 2, line 33 -page 3, line 4 page 4, line 46 - line 48 page 5, line 57 -page 6, line 9 page 9, line 14 - line 30 claims 1-3,10,11 CASU BENITO ET AL: "Heparin-like compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract page 272, paragraph 3 - paragraph 4 table 1 page 277, paragraph 2 page 279, paragraph 1 -page 280, paragraph 2 page 284, paragraph 2 - paragraph 3	Υ	ORESTE (IT); INALCO SPA (IT); ZOPPETTI) 13 August 1998 (1998-08-13) cited in the application page 2, line 10 - line 11 page 3, line 30 -page 4, line 18 examples 3,5,10,11		1-33
compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract page 272, paragraph 3 - paragraph 4 table 1 page 277, paragraph 2 page 279, paragraph 1 -page 280, paragraph 2 page 284, paragraph 2 - paragraph 3	Y	7 December 1988 (1988-12-07) page 2, line 33 -page 3, line 4 page 4, line 46 - line 48 page 5, line 57 -page 6, line 9 page 9, line 14 - line 30		1-33
	A	compounds prepared by chemical modification of capsular polysaccharide from E. coli K5." CARBOHYDRATE RESEARCH, vol. 263, no. 2, 1994, pages 271-284, XP004022216 ISSN: 0008-6215 cited in the application abstract page 272, paragraph 3 - paragraph 4 table 1 page 277, paragraph 2 page 279, paragraph 1 -page 280, paragraph 2 page 284, paragraph 2 - paragraph 3		14-24
1				

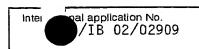


Application No

DOOLUGENTO COMPANY	P(B 02/02909
or the relevant passages	Relevant to claim No.
EP 0 544 592 A (SANOFI ELF) 2 June 1993 (1993-06-02) cited in the application page 4, line 11 - line 58 page 5, line 34 -page 6, line 51 page 9, line 53 -page 10, line 42 examples 1,3-5	14-24
EP 0 940 410 A (FIDIA ADVANCED BIOPOLYMERS SRL) 8 September 1999 (1999-09-08) page 3, line 12 - line 14 page 3, line 55 - line 57 page 4, line 20 - line 27 example 2 claims 1,24	1-33
EP 0 406 512 A (MERRELL DOW PHARMA) 9 January 1991 (1991-01-09) page 2, line 1 - line 4 page 2, line 35 - line 43 page 3, line 39 -page 4, line 14 page 6, line 16 - line 34 claims 1-7	1-33
WO 02 083155 A (PRESTA MARCO; ORESTE PASQUA ANNA (IT); UNI DEGLI STUDI DI BRESCIA) 24 October 2002 (2002-10-24) page 4, line 20 -page 5, line 2 page 5, line 19 - line 28 page 6, line 12 - line 16 page 11, line 28 -page 13, line 28 claims	14-24
WO 02 068477 A (ORESTE PASQUA ANNA; ZOPPETTI GIORGIO (IT)) 6 September 2002 (2002-09-06) page 3, line 18 -page 4, line 10 page 8, line 17 -page 9, line 2 page 10, line 4 - line 9 page 11, line 11 -page 12, line 6 claims 18-29,32	14-24
	2 June 1993 (1993-06-02) cited in the application page 4, line 11 - line 58 page 5, line 34 -page 6, line 51 page 9, line 53 -page 10, line 42 examples 1,3-5 EP 0 940 410 A (FIDIA ADVANCED BIOPOLYMERS SRL) 8 September 1999 (1999-09-08) page 3, line 12 - line 14 page 3, line 55 - line 57 page 4, line 20 - line 27 example 2 claims 1,24 EP 0 406 512 A (MERRELL DOW PHARMA) 9 January 1991 (1991-01-09) page 2, line 1 - line 4 page 2, line 35 - line 43 page 3, line 39 -page 4, line 14 page 6, line 16 - line 34 claims 1-7 WO 02 083155 A (PRESTA MARCO; ORESTE PASQUA ANNA (IT); UNI DEGLI STUDI DI BRESCIA) 24 October 2002 (2002-10-24) page 4, line 20 -page 5, line 2 page 5, line 19 - line 28 page 6, line 12 - line 16 page 11, line 28 -page 13, line 28 claims WO 02 068477 A (ORESTE PASQUA ANNA; ZOPPETTI GIORGIO (IT)) 6 September 2002 (2002-09-06) page 3, line 18 -page 4, line 10 page 8, line 17 -page 9, line 2 page 10, line 4 - line 9 page 11, line 11 -page 12, line 6

Form PCT/ISA/210 (continuation of second sheet) (July 1992)





Box L	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. χ	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
	Although claims 25-33 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is tacking (Continuation of item 2 of first sheet)
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:
	·
1.	As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
	I would be the applicant this International Search Benefit
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
·	-
Remai	The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

ion on patent family members

B 02/02909 Patent document Publication Patent family Publication cited in search report date member(s) date WO 9834958 Α 13-08-1998 IT MI970252 A1 07-08-1998 AT 211488 T 15-01-2002 ΑU 723168 B2 17-08-2000 ΑU 6394398 A 26-08-1998 DE 69803362 D1 28-02-2002 DE 69803362 T2 14-08-2002 DK 958307 T3 22-04-2002 WO 9834958 A1 13-08-1998 EP 0958307 A1 24-11-1999 ES 2169503 T3 01-07-2002 JP 2001510502 T 31-07-2001 NZ 337399 A 28-01-2000 PT 958307 T 28-06-2002 US 6288044 B1 11-09-2001 EP 0293826 Α 07-12-1988 EP 0293826 A2 07-12-1988 JP 1100127 A 18-04-1989 EP 0544592 Α 02-06-1993 FR 2684385 A1 04-06-1993 AT 176484 T 15-02-1999 CA 2083576 A1 29-05-1993 DE 69228362 D1 18-03-1999 DE 69228362 T2 09-09-1999 DK 544592 T3 20-09-1999 ΕP 0544592 A2 02-06-1993 ES 2129037 T3 01-06-1999 HU 64087 A2 29-11-1993 JP 5271305 A 19-10-1993 MX 9206783 A1 31-05-1994 US 5384398 A 24-01-1995 US 5314876 A 24-05-1994 EP 0940410 Α 08-09-1999 PD940054 A1 IT 25-09-1995 EP 0940410 A1 08-09-1999 AT 193023 T 15-06-2000 ΑU 2072795 A 09-10-1995 CA 2163337 A1 28-09-1995 DE 69516960 D1 21-06-2000 DE 69516960 T2 12-10-2000 MO 9525751 A1 28-09-1995 EP 0702699 A1 27-03-1996 ES 2148504 T3 16-10-2000 JP 9510493 T 21-10-1997 US 6051701 A 18-04-2000 US 6339074 B1 15-01-2002 US 6027741 A 22-02-2000 EP 0406512 Α 09-01-1991 90566 T AT 15-07-1993 CA 11-07-1990 2007258 A1 DE 69001957 D1 22-07-1993 DE 69001957 T2 23-09-1993 406512 T3 DK 09-08-1993 EP 0406512 A1 09-01-1991 ES 2058606 T3 01-11-1994 HU 53129 A2 28-09-1990 HU 203373 B 29-07-1991 IE 63167 B1 22-03-1995 JP 2263801 A 26-10-1990

Form PCT/ISA/210 (patent family annex) (July 1992)

Internati

Application No

li tioner patent family members

Internation PCT/Ib 02909

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 0406512	A		KR US US ZA	159774 B1 5861383 A 5272261 A 9000094 A	16-11-1998 19-01-1999 21-12-1993 31-10-1990
WO 02083155	Α	24-10-2002	WO	02083155 A1	24-10-2002
WO 02068477	<u></u> -	06-09-2002	WO	02068477 Aİ	06-09-2002

THIS PAGE BLANK (USPTO)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)